

10/03/00  
JC885 U.S. PTO

10-04-00

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
Some fee required and a duplicate for fee processing.
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages  ]  
preferred arrangement set forth below:
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets  ]
5. Oath or Declaration [Total Pages  ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application: see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Discontinuation Information Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Registration No. (Attorney/Agent)
Signature	Date 04/3/2000

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JC841 U.S. PTO  
09/677631  
10/03/00

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit  
Account  
Number

Deposit  
Account  
Name

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Credit card

☐ Money  
Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$) Code (\$)

Code (\$)	Code (\$)	Fee Description
101 690	201 345	Utility filing fee
106 310	206 155	Design filing fee
107 480	207 240	Plant filing fee
108 690	208 345	Reissue filing fee
114 150	214 75	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims Independent Claims Multiple Dependent

Extra Claims	Fee from below	Fee Paid
-20** =		
-3** =		

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$) Code (\$)

Code (\$)	Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity  
Fee Fee Fee Fee  
Code (\$) Code (\$)

Fee Description

Fee Paid

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description
105 130	205 65			Surcharge - late filing fee or oath
127 50	227 25			Surcharge - late provisional filing fee or cover sheet
139 130	139 130			Non-English specification
147 2,520	147 2,520			For filing a request for ex parte reexamination
112 920*	112 920*			Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action
115 110	215 55			Extension for reply within first month
116 380	216 190			Extension for reply within second month
117 870	217 435			Extension for reply within third month
118 1,350	218 680			Extension for reply within fourth month
128 1,850	228 925			Extension for reply within fifth month
119 300	219 150			Notice of Appeal
120 300	220 150			Filing a brief in support of an appeal
121 260	221 130			Request for oral hearing
138 1,510	138 1,510			Petition to institute a public use proceeding
140 110	240 55			Petition to revive - unavoidable
141 1,210	241 605			Petition to revive - unintentional
142 1,210	242 605			Utility issue fee (or reissue)
143 430	244 215			Design issue fee
144 580	244 290			Plant issue fee
122 130	122 130			Petitions to the Commissioner
123 50	123 50			Petitions related to provisional applications
126 240	126 240			Submission of Information Disclosure Stmt
581 40	581 40			Recording each patent assignment per property (times number of properties)
146 690	246 345			Filing a submission after final rejection (37 CFR § 1.129(a))
149 690	249 345			For each additional invention to be examined (37 CFR § 1.129(b))
179 690	279 345			Request for Continued Examination (RCE)
169 900	169 900			Request for expedited examination of a design application

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print Type)

Signature

Registration No.  
(Attorney, Agent)

Complete, if applicable

Telephone

Date

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